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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**COMPLETE IF KNOWN**

Application Number	10/029,305
Filing Date	December 20, 2001
First Named Inventor	Hardy, et al.
Group Art Unit	3737
Examiner Name	
Attorney Docket Number	70012-36-CIP

**RECEIVED****JUN 14 2002****TECHNOLOGY CENTER R3700****U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document Number <sup>2</sup> Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
POO ↓ ✓		3,692,023	B. Phillips, et al.	09/19/1972	
		3,783,251	J.M. Pavkovich	01/01/1974	
		4,341,220	J.H. Perry	07/27/1982	
		4,583,538	G.M. Onik, et al.	04/22/1986	
		4,608,977	R.A. Brown	09/02/1986	
		4,618,978	E.R. Cosman	10/21/1986	
		4,638,798	C.H. Shelden, et al.	01/27/1987	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
Examiner Signature	Kunad Lader			Date Considered	4-3-03	

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[F:\ANNETTE\Hardy-1449p1.PTO.doc] 70012-9101

1. Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.

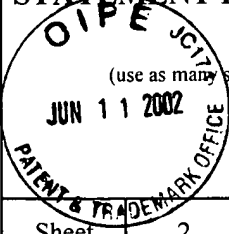
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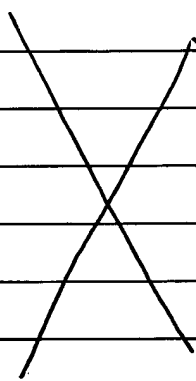
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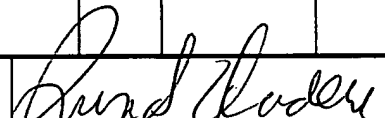
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				Examiner Name	
Sheet	2	of	8	Attorney Docket Number	70012-36-CIP

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document Kind Code <sup>2</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PS		4,791,93		C.J. Brunnett	12/20/1988	
		5,099,846		T.L. Hardy	03/31/1992	
		5,143,076		T.L. Hardy, et al.	09/01/1992	
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		5,531,229		R.D. Dean, et al.	07/02/1996	

FOREIGN PATENT DOCUMENTS								
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Examiner Signature					Date Considered	4-3-03		

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		First Named Inventor	Hardy, TECHNOLOGY CENTER R3700
		Group Art Unit	3737
		Examiner Name	
3 of 8		Attorney Docket Number	70012-36-CIP

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pso ↓		5,553,112	T.L. Hardy, et al.	09/03/1996	X
		5,566,681	K.H. Manwaring, et al.	10/22/1996	
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		5,908,410	J. Weber, et al.	06/01/1999	
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		6,143,003	E.R. Cosman	11/07/2000	

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Examiner Signature	[Signature]		Date Considered	24-3-03		

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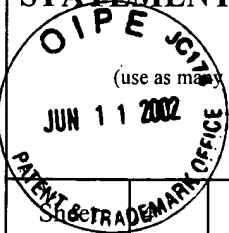
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		Filing Date	December 20, 2000
		First Named Inventor	Hardy, et al.
		Group Art Unit	3737
		Examiner Name	
of	8	Attorney Docket Number	70012-36-CIP

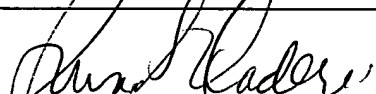
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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
PSO		BENTEL, G.C., Chapter: Treatment Geometry, <i>Patient Positioning and Immobilization in Radiation Oncology</i> , McGraw-Hill publishers, pp 1-10 (1999)	
		BENTEL, G.C., Chapter: Treatment Accuracy and Precision, <i>Patient Positioning and Immobilization in Radiation Oncology</i> , McGraw-Hill publishers, pp 11-22 (1999)	
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		BENTEL, G.C., Chapter: Central Nervous System, <i>Patient Positioning and Immobilization in Radiation Oncology</i> , McGraw-Hill publishers, pp 71-91 (1999)	
		BENTEL, G.C., et al., "Comparison of Two Repositioning Devices Used During Radiation Therapy for Hodgkin's Disease, <i>Int. J. Radiation Oncology Biol. Phys.</i> , Vol. 38, No. 4, pp 791-795 (1997)	
		BENTEL, G.C., et al., "Impact of Cradle Immobilization Setup Reproducibility During External Beam Radiation Therapy for Lung Cancer," <i>Int. J. Radiation Oncology Biol. Phys.</i> , Vol. 38, No. 3, pp 527-531 (1997)	
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		BLOMGREN, H., et al., "Stereotactic High Dose Fraction Radiation Therapy of Extracranial Tumors Using an Accelerator," <i>Acta Oncologica</i> , Vol. 34, No. 6, pp 861-870 (1995)	
		DRYZMALA, R.E., "Quality Assurance for LINAC-based Stereotactic Radiosurgery,"	

Examiner Signature		Date Considered	4-3-03
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		Application Number	10/029,305
		Filing Date	December 20, 2001
		First Named Inventor	Hardy, et al
		Group Art Unit	3737
		Examiner Name	
Sheet 1 of 8	Attorney Docket Number	70012-36-CIP	

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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
PSA		MED-TEC, Inc. "UNI-FRAME® Head Immobilization System" ADVERTISEMENT (1996)	
		SMITHERS MEDICAL PRODUCTS Inc., Product Catalog exclusively featuring: ALPHA CRADLE® Brand Patient Repositioning Systems ADVERTISEMENT (1995)	
		IZI MEDICAL PRODUCTS Corp. World Wide Web Page featuring "Multi-Modality Radiographic Marker" and Multi-Modality Radiographic Markers" (Sep 1999)	
		MAGELLAN - Biosense ® "Image-Guidance for Brain, Spine and Sinus Surgery," (date unknown)	
		CIRS Computerized Imaging Reference Systems, Inc. "3 Dimensional Anthropomorphic Skull Phantom" Biosense® *November 1999)	
		MED-TEC, Inc., "VAC-LOK™ Patient Immobilization System (1996)	

Examiner Signature	<i>Diana S. Rader</i>	Date Considered	4-3-03
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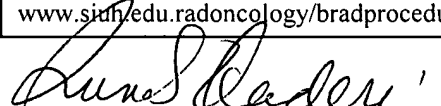
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6	of	8	Attorney Docket Number	70012-36-CIP

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T	2
PS		LATTANZI, J.P., et al., "A Comparison of Daily C T Localization to a Daily Ultrasound Based System (BAT™) in Prostate Carcinoma - Will BAT Fly?", <i>I.J. Radiation Oncology Bio.Phys.</i> , Vol. 42, No. 1, p 215 (Suppl. 1998)		
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		LAX, I, et al., "Stereotactic Radiotherapy of Extracranial Targets," <i>Z. Med. Phys.</i> , Vol. 4, pp 112-113 (1994)		
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		LEDERMAN, G., et al., Editors: "Body Radiosurgery Results," <i>J. of Radiosurgery</i> , www.siu.edu.radoncology/results, 1998a		
		LEDERMAN, G., et al., Editors: "Fractionated Stereotactic Body Radiosurgery at Staten Island University Hospital," <i>J. of Radiosurgery</i> , www.siu.edu.radoncology/bodyrs, 1998c		
		LEDERMAN, G., et al., Editors: "Innovative Treatment for Pancreas Cancers," <i>J. of Radiosurgery</i> , www.siu.edu.radoncology/pancancer, 1998d		
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		LEDERMAN, G., et al., Editors: "Body Radiosurgery Treatment Procedure," <i>J. of Radiosurgery</i> , www.siu.edu.radoncology/bradprocedure, 1998		
Examiner Signature			Date Considered	4-3-03

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Sheet	7	of	8	Attorney Docket Number		70012-36-CIP	

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Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
PS		NASLUND, I., et al., "New Prostate Repositioning Paradigm -- a Whole Body Frame for Conformal Radiotherapy Techniques, with Vertical Patient Alignment and Rotation to a Horizontal Position," <i>I.J. Radiation Oncology/Biology/Physics</i> , Vol. 39, No. 2, Suppl Abstract No. 2176 (1997)	
		ONIK, G., et al., "CT Body Stereotaxic System for Placement of Needles Arrays," <i>Int. J. Radiation Oncology Biol. Phys.</i> , Vol. 14, pp 121-128 (1987)	
		SATO, M., et al., "Feasibility of Fameless Stereotactic High-Dose Radiation Therapy for Primary or Metastatic Liver Cancer," <i>J of Radiosurgery</i> , Vol. 1, No. 3, pp 233-238 (1998)	
		STEAL, B., et al., "Spinal Stereotactic Radiosurgery: a Phase-I Study," <i>I.J. Radiation Oncology Bio. Phys.</i> , Vol. 42, No. 1, p 214 (Supplement 1998), ABSTRACT No. 1011	
		WULF, J., et al., "Hypofractionated, High-Dose Radiation Under Stereotactic Conditions in the Stereotactic Body Frame: Accuracy of Repositioning at 11 CT-Simulations and 37 Applications at the LINAC," <i>I.J. Radiation Oncology Bio. Phys.</i> , Vol. 42, No. 1, p 215 (Supplement 1998) ABSTRACT No. 1013	
		BIONIX Co., "Reusable/Disposable Frame Head Immobilizer," ADVERTISEMENT (April 1996)	
		BIONIX Co., "Pelvis/Belly Board Immobilizer," ADVERTISEMENT (April 1996)	
		BIONIX Co., "3-D Pelvis Board Immobilizer," ADVERTISEMENT (April 1996)	
		MED-TEC, Inc. "HipFix® Hip & Pelvic Immobilization System" ADVERTISEMENT (1996)	
		MED-TEC, Inc. "REDI-FOAM Foam Immobilization System" ADVERTISEMENT (1996)	
		PRECISION THERAPY International "STEREOTACTIC BODY FRAME™ Dose escalation by Precision Conformal Radiotherapy, ADVERTISEMENT (Sep 1995)	
		PFIZER - LEIBINGER® Extracranial Radiosurgery, ADVERTISEMENT (1997)	

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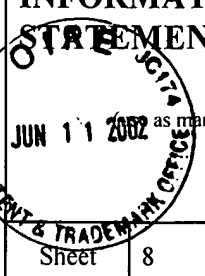
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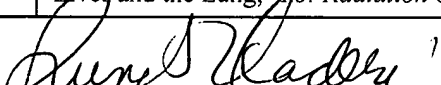
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Sheet 8	of	8	Attorney Docket Number	70012-36-CIP	

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pso		FERRERO, R., "Consider Using Resolvers and Synchros," <i>Electronic Design</i> , Vol. 17, pp70-72 (1975)	
		GOLDBERG, A., et al., "Hypofractionated Body Radiosurgery (HBR) as Treatment of Primary Pancreas Cancers," <i>J. Radiosurgery</i> , Vol. 1, No. 1, pp 63-74 (1998) ABSTRACT siuh.edu.radoncology/hypocancer	
		HAMILTON, ALLAN J., "Preliminary Clinical Experience with Linear Accelerator-Based Spinal Stereotactic Radiosurgery," <i>Neurosurgery</i> , Vol. 36, No. 2, pp 311-319 (1995)	
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Examiner Signature			Date Considered 4-3-03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>1</sup> Unique citation designation number. 2. Applicant is to place a check mark here if English language Translation is attached.